

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re divisional patent application of 10/001,812 filed on December 5, 2001

Shigeru Yoneda

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: ARRAYED WAVEGUIDE GRATING, ARRAYED WAVEGUIDE GRATING  
MODULE, ARRAUED WAVEGUIDE GRATING MODULE WAVEGUIDE  
COMPENSATION METHOD, OPTICAL COMMUNICATION SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. All of these references were either cited or submitted in parent Application No. 10/001,812 and thus copies of these references are not provided in accordance with 37 C.F.R. §1.98(d).

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

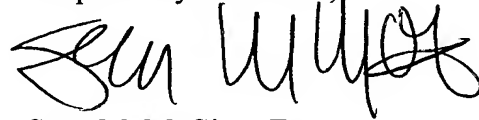
It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Rule 53(b) Divisional of Application No. 10/001,812  
filed December 5, 2001

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's

Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean McGinn", with a stylized flourish at the end.

Sean M. McGinn, Esq.  
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Date: 7/3/03  
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(Use several sheets if necessary)

SERIAL NO. **Not Yet Assigned**

FILING DATE  
**Concurrently Herewith**

GROUP	Not Yet Assigned
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		K. Maru et al., "Athermal arrayed-waveguide grating multi/demultiplexer", Transactions of Institute of Electronics and Data Communication Engineers of Japan, Optoelectronic System Laboratory, Hitachi Cable, Ltd., C-3-76, 2000, p. 256.
		P.C. Clemens, et al., "Wavelength-Adaptable Optical Phased Array in SiO <sub>2</sub> -Si" IEEE Photonics Technology Letters, Vol. 7, No. 10, October 1995, pp. 1040-1041.

DATE CONSIDERED

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.